



## **COMPLIANCE CERTIFICATE**

[Pursuant to Regulation 13 of the Securities Exchange Board of India (Share Based Employee Benefits) Regulations, 2014/ Securities Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021]

To,

**The Members**

**M/s Aditya Vision Limited**

**1st, 2nd & 3rd Floor, Aditya House**

**M-20, Road No. 26, S. K. Nagar**

**Patna, Bihar-800001**

We, Deepak Dhir & Associates, Company Secretaries, Secretarial Auditors of **M/s Aditya Vision Limited** (hereinafter referred to as 'the Company'), having CIN: L32109BR1999PLC008783 and having its registered office situated at 1st, 2nd & 3rd Floor, Aditya House, M-20, Road No. 26, S. K. Nagar, Patna, Bihar-800001. This certificate is issued under Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 (hereinafter referred to as "the Regulations"), for the year ended 31.03.2022.

### **Management Responsibility:**

It is the responsibility of the Management of the Company to implement the Scheme(s) including designing, maintaining records and devising proper systems to ensure compliance with the provisions of all applicable laws and regulations and to ensure that the systems are adequate and operate effectively.

### **Verification:**

We conducted our examination and obtained the explanations in accordance with Reference on SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 and the ICSI Auditing Standards issued by the Institute of Companies Secretaries of India

### **Certification:**

In our opinion and to the best of our knowledge and according to the verifications considered as necessary and explanations furnished to us by the Company and its officers, we certify that the Company has implemented the schemes as below:

- (1) Aditya Vision Employees Stock Option Plan-2021, in accordance with the Regulations and the Special Resolution(s) passed by the members through the Postal Ballot on April 09, 2021.



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**Assumption & Limitation of Scope and Review:**

1. Ensuring the authenticity of documents and information furnished is the responsibility of the Board of Directors of the Company.
2. Our responsibility is to give certificate based upon our examination of relevant documents and information. It is neither an audit nor an investigation.
3. This certificate is neither an assurance as to the future viability of the Company nor of the efficiency or effectiveness with which the management has conducted the affairs of the Company.
4. This certificate is solely for your information and it is not to be used, circulated, quoted, or otherwise referred to for any purpose other than for the Regulations.

For **Deepak Dhir & Associates**  
Company Secretaries



Deepak Kumar Dhir  
M. No. FCS 11633  
CP No. 17296

Peer Review No. 1918/2022

Date: 03.09.2022

Place: New Delhi

**UDIN: F011633D000903051**